NAME OF THE GRANT / PROJECT

ENVIRONMENTAL AND SOCIAL IMPACT ASSESSMENT ESIA

*This document presents the suggested content of an Environmental and Social Impact Assessment (ESIA). The ESIA is an instrument to identify and assess the environmental and social impacts of a proposed grant/project, evaluate alternatives and design appropriate mitigation, management, and monitoring measures. It shall include the development of suitable mitigation measures articulated in an Environmental and Social Management Plan (ESMP) which is a separate document.*

*Category B grants/projects usually do not require an ESIA but require an ESCOP. Category B+ grants/projects require an ESIA. (ESS 1 § 35 to 59)*

*The content of this document reflects the indicative outline of ESIA presented in the Guidance Note for ESS 1 Assessment and Management of Environmental and Social Risks and Impacts of the World Bank.*

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The MF-ESIA-F-NUMBER IS PART OF MAR FUND’s ESMS

# Glossary

|  |  |
| --- | --- |
| Due Diligence | Environmental and Social Due Diligence |
| EIA | Environmental Impact Assessment |
| ERP | Emergency Response Plans |
| ESAP | Environmental and Social Commitment Plan | |
| ES | Environmental and Social |
| ESDD | Environmental and Social Due Diligence | |
| ESIA | Environmental and Social Impact Assessment |
| ESMF | Environmental and Social Management Framework |
| ESMP | Environmental and Social Management Plan |
| ESMS | Environmental and Social Management System |
| ESPF | Environmental and Social Performance Framework |
| ESSQ | Environmental and Social (ES) Screening Questionnaire |
| ESS | Environmental and Social Safeguards |
| FPIC | Free, Prior, and Informed Consent |
| GHG | Greenhouse gas |
| GMO | Genetically Modified Organism |
| H&S | Health & Safety |
| LGBTQ+ | Lesbian, gay, bisexual, transgender, queer (or sometimes questioning) and others. + represents other sexual identities including pansexual and Two-Spirit. |
| MAR | Mesoamerican Reef |
| MAR Fund | Mesoamerican Reef Fund |
| OH&S | Occupational Health & Safety |
| PPE | Personal Protective Equipment | |
| PS | Performance Standards |
| RfP | Request for Proposals |
| SEA | Sexual Exploitation and Abuse |
| SECF | Stakeholder Engagement and Communication Framework |
| SECP | Stakeholder Engagement and Communication Plan |
| SGBV | Sexual and Gender-Based Violence | |
| SIA | Social Impact Assessment |
| ToR | Terms of Reference |

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# Executive Summary

Write your text here.

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# Introduction

*Present a general description of the document and its structure.*

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# Legal and Institutional Framework

*Briefly describe and analyze the legal and institutional framework governing the grant/project, within which the ESIA is carried out. Include the requirements of any co-financiers, if there is the case.*

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## The Applicable National Legal Framework

*Include an analysis of the applicable national legislation of {Mexico, Belize, Honduras, Guatemala}. Use the pertinent portion of the National Legislation Overview.*

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### Obligations of {insert country} Under International Law

*List the International Agreements, Conventions and other Instruments signed, ratified by, or otherwise applicable to, {Mexico, Belize, Honduras, Guatemala} and present how the obligations of {Mexico, Belize, Honduras, Guatemala} derived from these instruments affect the grant/project.*

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Write your text here.

## MAR Fund requirements

*Include here the requirements of the ESS 1 and other ESSs that can be triggered by the grant/project. Refer to ESS 1 § 35 to 56.*

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Write your text here.

## Requirements of co-financiers

*Identify the environmental and social requirements of the co-financiers, if there is any.*

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Write your text here.

## Gap Between the Applicable National Legal Framework and MAR Fund’s Requirements

*Compare the requirements derived from the applicable legal framework, including the obligations of {NAME OF COUNTRY} under international law, and the requirements of MAR Fund. Keep in mind that when MAR Fund’s environmental and social provisions are to be considered in parallel with the provisions of any of the Governments of Mexico, Belize, Guatemala and Honduras, the most stringent environmental and social Policy provision should apply.*

Write your text here.

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# Description of the Grant/Project

*Concisely describes the proposed grant/project. Include its geographic, environmental, social, and temporal context. Describe the Protected Area management plan and how it relates to the grant/project (if applicable).*

*Through consideration of the details of the grant/project, indicates the need for any plan to meet the requirements of ESS 1 through 10.*

*Includes a map of sufficient detail, showing the project site and the area that may be affected by the project’s direct, indirect, and cumulative impacts).*

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# Environmental and Social Baseline Data

*This section sets out in detail the baseline data that is relevant to decisions about project location, design, operation, or mitigation measures. This should include a discussion of the accuracy, reliability, and sources of the data as well as information about dates surrounding project identification, planning and implementation.*

*It also identifies and estimates the extent and quality of available data, key data gaps, and uncertainties associated with predictions.*

*Based on current information, assesses the scope of the area to be studied and describes relevant physical, biological, and socioeconomic conditions, including any changes anticipated before the project commences.*

*Considers current and proposed development activities within the project area but not directly connected to the grant/project.*

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# Assessment of Environmental and Social Risks and Impacts

*This section constitutes the core of the ESIA. It considers all relevant environmental and social risks and impacts of the grant/project. This will include the environmental and social risks and impacts specifically identified in the environmental and social screening and any other environmental and social risks and impacts arising because of the specific nature and context of the grant/project.*

*For Category B+ grants/projects it includes the risk or impact that is diverse, extended, irreversible, or unprecedented, as presented in the ESS 1 § 39 to 43.*

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# Analysis of Alternatives

*This section systematically compares feasible alternatives to the proposed project site, technology, design, and operation--including the "without project" situation--in terms of their potential environmental and social impacts.*

*It also includes an assessment of the alternatives’ feasibility of mitigating the environmental and social impacts; the capital and recurrent costs of alternative mitigation measures, and their suitability under local conditions; the institutional, training, and monitoring requirements for the alternative mitigation measures.*

*For each of the alternatives, quantifies the environmental and social impacts to the extent possible, and attaches economic values where feasible.*

*The analysis should recommend the preferred alternative and state the reason of choosing it.*

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# Mitigation Measures

*This section identifies mitigation measures and significant residual negative impacts that cannot be mitigated and, to the extent possible, assesses the acceptability of those residual negative impacts.*

*Identifies differentiated measures so that adverse impacts do not fall disproportionately on the disadvantaged or vulnerable.*

*Assesses the feasibility of mitigating the environmental and social impacts; the capital and recurrent costs of proposed mitigation measures, and their suitability under local conditions; the institutional, training, and monitoring requirements for the proposed mitigation measures.*

*Finally, this section specifies issues that do not require further attention, providing the basis for this determination.*

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# Stakeholder Engagement and Consultation Strategy

*Describe the processes to engage with the affected stakeholders and achieve their full participation in the ESIA process.*

*Keep in mind the requirements set forth by the Information Disclosure Matrix (ESS 10) with regards to the ESIA process, including sharing the Terms of Reference of the ESIA and of any other required study to allow stakeholders to propose adjustments; and presenting the results of the ESIA[[1]](#footnote-1)*

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## Stakeholder Engagement Conducted to Date

*Present in this section a brief resume of the stakeholder engagement activities conducted to date, including those conducted during the preparation of the proposal, if any.*

*If there is any documentation of these activities, include a reference or a link to them.*

*If there had been any agreement or other commitment adopted by the parties, please include it here.*

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## Record Keeping and Documentation

*Describe the arrangements for record keeping and documenting the stakeholder engagement process. These shall satisfy the requirements set forth by the ESS 10 (and by the ESS 7 concerning FPIC if it is needed).*

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# Key Environmental and Social Measures/Actions

*Present in this section the key environmental and social measures/actions and the timeframe for the grant/project to meet the requirements of the ESSs. This will be used to formulate the Environmental and Social Management Plan (ESMP).*

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1. Grants/projects classified as Category B+ (Substantial Risk), must include consultations with participating and affected stakeholders about the results of the Environmental and Social Screening of the proposal; the Terms of Reference of the ESIA and of any other required study to allow stakeholders to propose adjustments; and the presentation of the results of the ESIA and of any other required study. Documentation of these consultations shall be presented with the proposal [↑](#footnote-ref-1)